FI$Cal
One state. One system.

Gavin Newsom, California Governor
Miriam Barcellona Ingenito, Director

FINANCIAL INFORMATION SYSTEM FOR CALIFORNIA
2000 Evergreen Street
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(916) 576-4846

FI$Cal Functionality

Exempted Entities

• 6640 (UC) University of California
• 6610 (CSU) California State University
• 8420 (SCIF) State Compensation Insurance Fund
• 8830 (CLRC) California Law Revision Commission
• 8855 (BSA) California State Auditor
• 9612 (GST) Enhanced Tobacco Asset-Backed Bonds (SCO)
• 7900 (CALPERS) California Public Employees' Retirement System
• 7920 (CALSTRS) California State Teachers' Retirement System (Financial Retirement and Investment functions)
• 0100 (LEG) California State Legislature
• 0160 (LCB) State of California Office of Legislative Counsel

FI$Cal Functionality

The following table summarizes the business functionality that has been defined by the Partner agencies and departments.

Major Function	Sub Functions	FI$Cal Modules	Description
Budget Development and Enactment	Planning	Hyperion	Includes all budget planning processes.
Budget Development and Enactment	Development and Enactment	Hyperion	Includes decision making support, the spring budget updates, Legislative actions, and veto decision processes.
Budget Development and Enactment	Position Control and Salary Administration	N/A	Includes utilizing position control and salary administration data from the State Controller's Office (SCO) for the purpose of budget development and administration. This is being performed outside of the

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Major Function	Sub Functions	FI$Cal Modules	Description
			system. This information will also be used for other accounting purposes such as cost allocation.
Budget Development and Enactment	Revenue Forecasting	Hyperion	Includes revenue estimates for most non-major revenues (e.g., special funds). Complex forecasting tools used to calculate the major sources of revenue, primarily for the General Fund, will continue to work independent off this system, although summary data will be entered (or interfaced) to support the budget development process.
Budget Development and Enactment	Budget Documents	Hyperion	Includes the Governor's Budget, Salary and Wages Supplement, May Revision Highlights, Budget Highlights, etc.
Budget Administration	Budget Administration and Monitoring	PeopleSoft Commitment Control (KK) / Hyperion	Includes incorporating real-time accounting information for budget monitoring/reporting.
Appropriation Accounting	Budget Control	PeopleSoft Commitment Control (KK) / Hyperion	Includes Allotment Accounting, Budget Plans, and Budget Preparation Support for departments.
Appropriation Accounting	Budget Administration	PeopleSoft Commitment Control (KK) / Hyperion	Includes budget Executive Orders and budget revisions process among departments, Department of Finance (Finance), and SCO maintaining and monitoring/reporting.
General Ledger Accounting	General Ledger	PeopleSoft General Ledger (GL)	Includes central/shared tables for consistency (e.g., chart of accounts, commodity and service codes).
Receivables/ Collections	Revenue and Receipt Accounting	PeopleSoft Accounts Receivable (AR)	Includes revenue and receipt tracking.
Receivables/ Collections	Accounts Receivable	PeopleSoft Accounts Receivable (AR) / Billing (BI)	Includes some program-based cashiering and cash receipting functions. Cashiering has been completed with the cash drawer functionality, and reporting is provided using cash receipts reports.

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Major Function	Sub Functions	FI$Cal Modules	Description
Receivables/ Collections	Encumbrance Accounting	PeopleSoft Purchase Order (PO)	Begins with the Requisition Process for internal control and identification of "spend" information (i.e., what are we buying for the state).
Receivables/ Collections	Accounts Payable	PeopleSoft Accounts Payable (AP)	Includes payable tracking and request for payment.
Receivables/ Collections	Office Revolving Fund	PeopleSoft Accounts Payable (AP)	Includes office revolving fund checks.
Receivables/ Collections	SCO Disbursements and Audits	PeopleSoft Accounts Payable (AP)	Creation of an electronic or paper warrant (includes internal controls, edits, parameters, and validation protocols) which will be used and monitored by SCO Audits.
Procurement	Contracts	PeopleSoft Purchase Order (PO)	Includes functionality to establish, manage, and administer "Transactional" Contracts and the state's leveraged procurement agreements with the functionality to attach T&Cs to "Transactional" Contracts. STD. 213/215 or other contract documents are still created as hard copies outside of FI$Cal.
Procurement	Requisitions, Purchase Orders, Receiving, and Procurement Cards (P-Card)	PeopleSoft Purchase Order (PO) / P. Card	Includes functionality to create Requisitions, create and manage Purchase Orders, Receive on Purchase Orders, and P-Card holder profile management, P-Card statement reconciliation and payment.
Procurement	Vendor Management	Vendor Management File	Includes requirements for consistent departmental processing and statewide process including a single statewide vendor file.
Procurement	Advertising Solicitations in the CA State Contracts Register (CSCR)	PeopleSoft Purchase Order (PO)	Includes requirements for advertising solicitation packages or Request for Information (RFI) to CSCR for Bidders/Suppliers to view the solicitation package or RFI.

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Major Function	Sub Functions	FI$Cal Modules	Description
Procurement	Commercially Available Electronic Catalogs and Catalog Ordering	PeopleSoft Purchase Order (PO)	Includes customized electronic catalogs such as CALPIA, which implementation is in progress.
Project Accounting	Project Repository	PeopleSoft Project Costing (PC)	Provides a comprehensive data store for project expenditures across the state. Provides for multi-year project budgets.
Project Accounting	Capital Projects	PeopleSoft Project Costing (PC)	Includes working in conjunction with specialized project management and engineering systems for departments focused on capital projects.
Project Accounting	Project Reporting	PeopleSoft Project Costing (PC)	Records and reports on project financial activity as necessary to meet federal, state, and management needs.
Grant Management	Grant Tracking	PeopleSoft Project Costing (PC) / Grants Management (GM) / Contracts (CC)	Tracks grants, whether the state is a grantee or a grantor.
Grant Management	Grant Repository	PeopleSoft Project Costing (PC) / Grants Management (GM) / Contracts (CC)	Provides a comprehensive data store for grant activity across the state.
Cost Accounting	Labor Distribution	PeopleSoft Labor Distribution (LD)	Includes distribution of State's payroll (gross pay and the State's share of staff benefits) costs across different programs, projects, grants, and other chart of account elements. Labor distribution should be as close to real time as possible.
Cost Accounting	Indirect Costs	PeopleSoft Labor Distribution (LD) / General Ledger (GL) / Allocations	Includes a cost allocation and labor distribution component, addressing program, project, fund, unit, and activity. Indirect costs should be as close to real time as possible.

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Major Function	Sub Functions	FI$Cal Modules	Description
Cash Management	Cash Tracking/Forecast	PeopleSoft Cash Management (CM)	Track and forecast cash deposits, disbursements, and cash balance; maintain and monitor cash balance of funds (State Treasurer's Office [STO]); and borrow cash from internal and external sources (STO).
Cash Management	Bank Reconciliation	PeopleSoft Cash Management (CM)	Includes the monitoring and managing of the cash in depository banks.
Cash Management	Deposits	PeopleSoft Cash Management (CM) / Accounts Receivable (AR)	Includes providing the Front-End Deposit System.
Cash Management	Check Writing	PeopleSoft Accounts Payable (AP)	Includes a check writing system.
Bank/Warrant Reconciliation	Bank Reconciliation	PeopleSoft Cash Management (CM)	Bank reconciliation between the STO and third-party financial institutions.
Bank/Warrant Reconciliation	Banking Services	PeopleSoft Cash Management (CM)	The STO acts as a bank and is presented with state issued checks, vouchers, and warrants by financial institutions for redemption.
Bank/Warrant Reconciliation	Other Bank/Warrant Account Reconciliation	PeopleSoft Accounts Payable (AP) / Cash Management (CM)	Will reconcile the agency checking accounts (e.g., Office Revolving Funds, trust accounts, and other cash/general cash accounts) which provide data to perform reconciliations. Includes SCO warrant reconciliation.
Asset Management	Basic Asset Management	PeopleSoft Asset Management (AM)	Focusing on department and state-level asset accounting (Governmental Accounting Standards Board [GASB] 34 and 35). In scope asset accounting includes the description of assets (including works of art/treasures; tracking and location of assets; useful life and depreciation; impairments (GASB 42); and the ability to reconcile the inventory to the control account). The current system is capable of handling GASB 34, 35 and 42 standards as it is able to identify different types of assets and location of assets.

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Major Function	Sub Functions	FI$Cal Modules	Description
Human Resources	Position Control and Salary Administration	N/A	The payroll system administered by SCO is the system of record including all transactions related to this functionality. Data transfer from the payroll system is used to support budget and accounting functionality requiring this information.
Human Resources	Labor Distribution Data	N/A	State accounting requires labor distribution to spread costs to other funds and programs.
Human Resources	Role-based Identity Data	N/A	Employee identification/ authentication and role-based authority (for the FI$Cal project only).
Human Resources	Single Time Sheet	N/A	Includes Single Time Sheet for state employees for both cost accounting and leave accounting.
SCO Audits	Expenditure Audits	PeopleSoft Accounts Payable (AP)	This is not a function of the system, but a requirement by statute for all expenditures to be audited before paid. This audit function is defined by a set of requirements and will include standard processes and audit tools to meet the requirements. Along with departments attaching invoices and other supporting documentation to vouchers in FI$Cal, there is a custom audit workflow / audit filters to select and route vouchers for additional auditor review prior to approval and payment.
Security	Security Plans and Protocols	Information Security (ISO) -All Modules	This is not a function but a requirement to include security plans and protocols to provide sufficient level of protection and integrity for the state's critical information, as well as Partner agencies and department business needs.

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Out of Scope Functionality for FI$Cal

The following functionalities are not in the scope of the FI$Cal project. However, since it is the intent of the state to standardize its administrative software, the FI$Cal software may be used to include these functionalities through future projects.

Major Function	Sub Functions	Description
Asset Management	Department of General Services/Department Functions	Functions where asset management functionality is desired beyond asset accounting, identification, and location.
Procurement	Inventory Management	Functions that track the warehousing, utilization, and restocking of inventory.
Human Resources	Human Resources	All functions with the exceptions noted in the Initial Scope Efforts. The payroll system administered by SCO will be the source of data.
Revenue Forecasting	Revenue Forecasting	Forecasting requirements performed by Finance for major revenues using data which originates from departments (e.g., Franchise Tax Board, Board of Equalization). Functionality is available in FI$Cal, however, and can be configured based on department needs.
Payables	Employee Expense Claims	SCO has CalATERS in place which all departments are mandated to use by July 1, 2009. When CalATERS must be upgraded, just like the other A/R systems, this software may be used for the future replacement or upgrade of these systems in separate but related to Stage 3 projects. There may be departments exempt from CalATERS that may require this functionality sooner as a separate but related project.